

04/03/01  
jc772 U.S. PTO

09/806949 BOX PCT  
Attorney Docket No. 24544

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LEGRO, Robert Jean; HONKOOP, Sijbert

JC08 Rec'd PCT/PTO 03 APR 2001

International Application No. PCT/NL00/00523

Serial No. NOT YET ASSIGNED

International Filing Date: 24 July 2000 (24.07.00)

Filed: April 3, 2001

For: **A METHOD FOR THE PROTECTION OF GERMINATING SEED AND PESTICIDE-COATED PELLETS**

TRANSMITTAL LETTER

The Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Application which consists of 12 pages including:
  - 7 pages Textual Specification
  - 1 Page of 6 claims
  - 1 sheet containing the Abstract
  - 3 Sheets of Drawings (7 figures)
- (4) PCT/ISA/210 International Search Report
- (5) PCT/RO/101 Request
- (6) Preliminary Amendment
- (7) Executed Inventor's Declaration
- (8) Assignment and Recordation Cover Sheet
- (9) Information Disclosure Statement
- (10) Information Disclosure Statement Transmittal Letter
- (11) PTO Form-1449 with 4 references
- (12) Request for Priority
- (13) Priority Document No. 1012918
- (14) Check No. 14496 \$ 860.00 for Government Filing Fee
- (15) Check No. 14497 \$ 40.00 for Assignment Filing Fee
- (16) Postcard for early notification of serial number

Respectfully submitted,  
NATH & ASSOCIATES

By: 

Gary M. Nath  
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Date: April 3, 2001  
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ATTORNEY'S DOCKET NO: 24544

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>03</u> April 2001 ( <del>03</del> 04.2001)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known) Not Yet Assigned <b>09/806949</b>
INTERNATIONAL APPLICATION NO.: PCT/NL00/00523	INTERNATIONAL FILING DATE: 24 July 2000 (24.07.00)	PRIORITY DATE CLAIMED: 26 August 1999 (26.08.99)
TITLE OF INVENTION: A METHOD FOR THE PROTECTION OF GERMINATING SEED AND PESTICIDE-COATED PELLETS		
APPLICANT(S) FOR DO/EO/US: LEGRO, Robert Jean; HONKOOP, Sijbert		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>04/10/2001 480000138 09806949 Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>02 FC:581</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL APPLICATION CONSISTING OF 12 PAGES INCLUDING; 7 PAGES TEXTUAL SPECIFICATION, 1 PAGE OF 6 CLAIMS; 1 SHEET CONTAINING THE ABSTRACT; 3 SHEETS DRAWINGS; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; PCT/RO/101 REQUEST; EXECUTED INVENTOR'S DECLARATION; REQUEST FOR PRIORITY AND PRIORITY DOCUMENT No. 1012918.</p>		

09/806949

JUL 10 2001 Rec'd PCT/PTO 03 APR 2001

ATTORNEY'S DOCKET NO: 24544

U.S. APPLICATION NO. (if known) not yet assigned	INTERNATIONAL APPLICATION NO.  PCT/NL00/00523	DATE: <u>03</u> April 2001 ( <u>03</u> 04.2001)			
21. <u>x</u> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO:.....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>CALCULATIONS</b>  \$860.00         <b>\$860.00</b>	<b>PTO USE ONLY</b>		
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>  </u> 20 <u>  </u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$			
CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	6 -20=	0	X \$ 18.00	\$	0.00
INDEPENDENT	2 - 3=	0	X \$ 80.00	\$	0.00
Multiple dependent claims(s) (if applicable)			+ \$260.00	\$	0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	860.00
Reduction by 1/2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	.00
<b>SUBTOTAL =</b>				\$	860.00
Processing fee of \$130.00 for furnishing the English translation later than <u>  </u> 20 <u>  </u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
<b>TOTAL NATIONAL FEE =</b>				\$	860.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				\$	40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	900.00
				Amount to be: refunded	\$
				charged	\$

U.S. APPLICATION NO. (if known) not yet assigned	INTERNATIONAL APPLICATION NO.  PCT/NL00/00523	DATE: <u>03</u> April 2001 ( <u>03</u> .04.2001)
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a. ☒ Two checks in the amount of \$900.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.


d. Fees are to be charged to a credit card \_\_\_\_ WARNING: Information on this form may become public \_\_\_\_ Credit Card Information should not be included on this form. \_\_\_\_ Provide credit card information and authorization on PTO-2038 \_\_\_\_

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Rev. 02/98